SCIENTIFIC INVESTIGATIONS

Admission Criteria for Children With Obstructive Sleep Apnea After Adenotonsillectomy: Considerations for Cost

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Study Objectives: Postoperative respiratory complications (PRCs) are common among children with obstructive sleep apnea (OSA) after adenotonsillectomy. We analyzed postoperative admission guidelines to determine which optimally balanced patient safety and cost.

Methods: Retrospective study of children aged 12 years or younger undergoing adenotonsillectomy for OSA after polysomnography at a tertiary academic care center over 2 years. Demographics, medical history, and hospital course were collected. Advanced Excel modeling was used to assess the number of children with PRCs identified with guideline admission criteria and to validate the significance of these findings in our patient population with logistic regression.

Results: Six hundred thirty children were included; 116 had documented PRCs. Children with PRCs were younger ($P = .024$) and more frequently male ($P = .012$). There were no significant differences in race ($P = .411$) or obesity ($P = .265$). More children with PRCs had an apnea-hypopnea index (AHI) > 24 events/h ($P < .001$). Following guidelines from the American Academy of Pediatrics, American Academy of Otolaryngology - Head and Neck Surgery, and Nationwide Children's Hospital, 82%, 87%, and 99% of children with PRCs would be identified, costing $535,962, $647,165, and $1,053,694 for admission, respectively. Using a non-validated, forced model to refine predictors described in published guidelines, our model would have identified 95% of children with one or more PRCs, with a moderate cost.

Conclusions: Current admission guidelines attempt to identify children with OSA at high risk for PRCs after adenotonsillectomy; however, none consider the economic cost to the health care system. We present a comparison of the number of patients identified with PRCs after adenotonsillectomy and the cost of expected admissions using currently published guidelines.

Commentary: A commentary on this article appears in this issue on page 1371.

Keywords: adenotonsillectomy, obstructive sleep apnea, pediatric OSA, postoperative respiratory complications, safety, sleep apnea.

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INTRODUCTION

Obstructive sleep apnea (OSA) is characterized by partial or complete nocturnal upper airway obstruction and occurs in 1% to 4% of children in the United States,[1,2] with peak incidence in preschool-aged children.[3] Multiple factors, including race, affect the prevalence of this disease in children.[1] Untreated OSA in children has been associated with poor school performance,[4,5] metabolic abnormalities,[6,7] cardiovascular disease,[8–11] and pulmonary complications.[12] Adenotonsillectomy (T&A) is the currently recommended first-line therapy for children with OSA.[13] In a recently published guideline, polysomnography (PSG) is presented as the best diagnostic test for OSA[14]; however, in a recent survey of pediatric otolaryngologists, fewer than 10% of respondents routinely obtained PSG for children with sleep-disordered breathing.[15] Postoperative complications of T&A include pain, nausea, vomiting, dehydration, hemorrhage, and respiratory compromise.[14] In a recent meta-analysis,

BRIEF SUMMARY

Current Knowledge/Study Rationale: Postoperative respiratory complications (PRCs) are common among children with obstructive sleep apnea (OSA) after airway surgery. Several published guidelines utilize patient demographics, comorbid diseases, and sleep apnea severity to determine which patients are at highest risk for PRCs. Of the current admission guidelines, none are universally accepted.

Study Impact: We applied three published guidelines as a means to screen a population of children who underwent adenotonsillectomy for OSA to identify those children with PRCs and to determine if the models could be refined to balance patient safety with cost. Although safety should always be considered first, we recognize that cost is a growing concern for patients, physicians, and hospital administrators.

children with OSA had a fivefold increase in postoperative respiratory complications (PRCs) after T&A compared with those without OSA.[16]

A number of investigators have examined the frequency of PRCs and identified predictors of postsurgical respiratory morbidity in children with OSA who undergo T&A. These predictors include high apnea-hypopnea index (AHI), younger age, and obesity.[17] Validated risk factor scoring systems have even been developed based on the number and depth of desaturation events seen on overnight pulse oximetry studies.[18] Although risk factors for these respiratory complications have been proposed, there are no universally accepted standards to help identify patients with OSA at highest risk for these complications. Additionally, none of the current recommendations have been evaluated for both safety and cost.

The most recent revised recommendations for the diagnosis and management of childhood OSA from the American Academy of Pediatrics (AAP) also include criteria for admission after T&A based on factors that put children at higher risk for PRCs.[14] Criteria for admission of pediatric patients after T&A were expanded to decrease the number of unanticipated admissions based on PRCs.[14] Similarly, admission criteria for children with OSA undergoing T&A are also offered by the American Academy of Otolaryngology - Head and Neck Surgery (AAO-HNS).[19] More recently, modified pediatric adenotonsillectomy guidelines were instituted at the Nationwide Children's Hospital to determine areas for practice improvement and limit unanticipated admissions.[20] Although these guidelines use a similar list of predictors, the criteria are variable. No large-scale comparison exists in a pediatric population to match the ability of all three guidelines to predict PRCs, determine the number of admissions that each would require, or the cost of such admissions.

It is imperative that anesthesiologists, otolaryngologists, and pediatric pulmonologists determine a standard of care that can be used to identify those children at risk for PRCs and establish general admission criteria that are both cost-effective and safe for the patient. We hypothesized that certain risk factors would be predictive of PRCs and that current models could be refined in order to balance cost and patient safety. To test these hypotheses, we applied admission criteria to a population of children with OSA who previously underwent T&A in order to compare predictive ability and diagnostic accuracy of previously published guidelines.

METHODS

Study Participants

This study was approved by the Johns Hopkins institutional review board (NA_00065297). A retrospective database query used ICD-9 codes for obstructive sleep apnea, tonsillectomy, and adenotonsillectomy, to identify children undergoing T&A for OSA between January 1, 2011 and December 31, 2012 at the Johns Hopkins Hospital. Children were included only once in the dataset after review of the children undergoing procedures in the electronic medical record. All study participants received a complete tonsillectomy or adenotonsillectomy. Children age 12 years or younger in whom OSA was diagnosed using preoperative nocturnal PSG prior to T&A were included in the study. Children were excluded if they were older than 12 years, did not have a preoperative PSG, or had an adenoidectomy without

a tonsillectomy. Per criteria established by the AAO-HNS,[19] planned admissions were generally made for children if they were younger than 3 years, had an AHI ≥ 10 events/h, had an oxygen saturation nadir (O$_2$ sat nadir) during the PSG < 80%, and/or demonstrated postoperative sleep-disordered breathing after surgery (**Table 1**). Although the AAO-HNS guidelines mention considering postoperative admission for children with comorbid conditions,[19] no examples of these comorbid conditions are given and interpretation is left to the treating physicians.

PSG was obtained for children with sleep-disordered breathing per guidelines previously published through the AAO-HNS.[19] These guidelines were uniformly followed by the pediatric otolaryngologists at this academic institution. PSG was evaluated and scored by pediatric sleep physicians at the Johns Hopkins Hospital using the criteria of the American Academy of Sleep Medicine. OSA was determined by the following parameters: mild OSA was defined by an obstructive AHI (oAHI) of 1 to < 5 events/h; moderate OSA was defined as an oAHI ≥ 5 and < 10 events/h; severe OSA was defined as an oAHI ≥ 10 events/h.[21–23]

Electronic medical records were reviewed for demographic data, including age, sex, race, weight, and body mass index (BMI) on the day of the procedure, as well as medical comorbidities, PSG parameters, hospital course (including intraoperative and postoperative complications), and readmissions within the first 2 weeks after discharge. If a readmission occurred in the first 2 weeks after surgery, the readmission was recorded even if it was a secondary admission unrelated to a postoperative complication. As a retrospective analysis, individuals reviewing the electronic medical records for data were not blinded from patient outcomes. Medical comorbidities that were tracked included those described in the other guidelines including neuromuscular disorders, Down syndrome, Pierre Robin sequence, other craniofacial disorders, and genetic syndromes not otherwise specified. For children age 2 years or older, the BMI for age (and sex) was calculated using the formulas derived from the United States Centers for Disease Control and Prevention[24]; obesity was defined as a BMI for age ≥ 95th percentile.

Comparison of Models

Children were divided into two groups: (1) patients without recorded PRCs and (2) patients with recorded PRCs. PRCs were defined as: persistent oxygen saturations of hemoglobin lower than 90% or respiratory distress that required intervention. Intermittent oxygen desaturations were included only if they required an intervention. However, their effect on the patient's course in the hospital was not determined. Interventions for PRCs included any one or more of the following: oxygen supplementation, respiratory support requiring medical intervention or noninvasive positive pressure ventilation, and/or the need for reintubation for severe respiratory complications.

Published guidelines describing admission criteria for children with OSA after T&A were reviewed. Guidelines included those from the aforementioned AAO-HNS,[19] the AAP,[14] and the Nationwide Children's Hospital (NCH).[20] The AAP recommends admission for children after T&A if they meet the following criteria: age younger than 3 years, AHI ≥ 24 events/h

Table 1—Current recommendations for hospital admission in children with OSA undergoing T&A.

	AAO-HNS[19]	AAP[14]	NCH[20]	BSC
Age, years	< 3	< 3	< 3	< 3
Genetic syndromes	No[A]	Yes[B]	Yes[C]	Yes[D]
Comorbid conditions	Yes[A]	Yes[B]	Yes[C]	Yes[D]
BMI for age	No	No	> 95th %	No
PSG results				
AHI, events/h	≥ 10	≥ 24	> 10	> 10
O_2 sat nadir, %	< 80	< 80	< 80	No
CO_2, mm Hg	No	$PCO_2 ≥ 60$	$ETCO_2 > 50$	$PCO_2 > 52$

[A] = the AAO-HNS guidelines suggest children with any comorbid condition that could increase the likelihood of postoperative complications, independent of the severity of OSA, should warrant admission.[19] No examples are listed in the guidelines. [B] = the AAP guidelines specify admission for children with cardiac complications of OSA, failure to thrive, obesity, craniofacial anomalies, neuromuscular disorders, and current respiratory infections.[14] [C] = Raman et al.[20] recommend hospital admission in children with craniofacial syndromes (ie, Down syndrome, Pierre Robin, etc.), moderate-to-severe asthma, cystic fibrosis, congenital heart disease, hematological disorders, diabetes mellitus, hypotonia, and/or other significant medical conditions. [D] = for the example presented in this study (BSC), we recommend admission criteria for all children that also have genetic syndromes or significant medical comorbidities as outlined by Raman et al.[20] AAO-HNS = American Academy of Otolaryngology - Head and Neck Surgery, AAP = American Academy of Pediatrics, AHI = apnea-hypopnea index, BSC = Balancing Safety and Cost, BMI for age = body mass index for age and sex > 95th percentile (per Centers for Disease Control and Prevention), $ETCO_2$ = end-tidal CO_2, NCH = Nationwide Children's Hospital, OSA = obstructive sleep apnea, PCO_2 = peak CO_2, PSG = polysomnography, T&A = adenotonsillectomy.

on PSG, O_2 sat nadir of < 80%, peak CO_2 (PCO_2) ≥ 60 mm Hg (**Table 1**).[14] The guidelines also recommend admitting patients if they have cardiac complications of OSA, failure to thrive, obesity, craniofacial anomalies, neuromuscular disorders, or current respiratory infections.[14] However, no distinction is made concerning those comorbidities on this list that cause the highest risk of PRCs, and other comorbidities, such as asthma, are not mentioned.[14] Guidelines from the NCH recommend admission for children younger than 3 years, AHI > 10 events/h, O_2 sat nadir < 80%, end-tidal CO_2 ($ETCO_2$) > 50 mm Hg, BMI for age > 95th percentile, and/or those children with craniofacial syndromes, moderate to severe asthma, cystic fibrosis, congenital heart disease, hematological disorders, diabetes mellitus, hypotonia, and any other major medical conditions (**Table 1**).[20]

Using advanced Excel modeling (Microsoft, Redmond, Washington, United States), we applied guidelines from the AAP,[14] AAO-HNS,[19] and NCH[20] to our dataset to determine their ability to identify children who had known PRCs. In addition, we performed a cost analysis of the expected admission for each model. A univariate analysis was then performed on physical examination characteristics, demographics, and PSG findings commonly used in other admission guidelines to determine if these criteria could be refined to improve identification of children with PRCs while minimizing associated admission costs. As a forced model, outcome measures for each variable were examined as a group to determine the best outcomes. By evaluating each scenario, the recommendations with the highest number of identified PRCs and the lowest number of admissions were identified. This model, titled "Balancing Safety and Cost," or BSC, proposes admission if children are younger than 3 years old, have an AHI > 10 events/h, have an O_2 sat nadir < 80%, $ETCO_2$ > 52 mm Hg, and/or have the same comorbid conditions outlined in the NCH guidelines.[20]

Cost Analysis

The daily charge for a floor admission at our institution averaged $1,823. These costs include only the facility charge, and do not include physician charges, medications, or supplies. The overall cost of admission per guideline was calculated by determining the number of patients who would be admitted using each guideline criteria. The total cost was then estimated by multiplying the average daily charge for a floor admission for the first night by the number of patients admitted.

Statistical Analysis

Descriptive statistics were used to characterize demographic data. Categorical measures were summarized using frequencies and percentages. Univariate analysis using logistic regression was used to assess the association of demographic and PSG measures with respiratory complications. Values of $P < .05$ were considered significant.

Multinomial logistic regression analyses were performed for each of the four models to determine how well the observed outcomes are replicated by each model. A Hosmer and Lemeshow (H&L) goodness-of-fit classification statistic was used to assess the fit of the models against actual outcomes, with actual outcomes representing those children who had PRCs. The P value of the chi-square in the H&L goodness-of-fit table should be large to indicate a good fit (not to demonstrate significance). Therefore, when comparing models, a larger P value indicates a better fit.[25] The Akaike Information Criteria (AIC) is used as an additional indicator of relative goodness-of-fit. As with the H&L goodness-of-fit, the larger AIC when comparing models also indicates a better fit.[26] The Nagelkerke R^2 represents the percentage of variance of the dependent variables explained by the independent variables. This measure only accounts for the factors that are identified by the models that could cause PRCs. However, it does not incorporate the costs of admission for each guideline.

Table 2—Logistic regression of the AAO-HNS model.

Variable	Definition	Coefficients	P	eB (Odds Ratio)	95% CI Lower	95% CI Upper
Age	Age younger than 3 years	1.959	< .001**	7.092	4.363	11.529
Sex	Male = 0, Female = 1	−0.447	.064*	0.640	0.399	1.026
Race	Black = 1, White = 0, Other = 2	−0.445	.004**	0.641	4.473	0.868
Comorbidity	Has comorbidity = 1	0.963	< .001**	2.620	1.528	4.492
O_2 sat nadir < 80	O_2 sat nadir < 80% = 1	1.033	.012*	2.808	1.252	6.297
AHI ≥ 10	AHI ≥ 10 events/h = 1	0.418	.089*	1.519	0.938	2.461
Constant	Intercept	−1.780	.000	0.169		
R^2	Nagelkerke R	0.275				
AIC	Akaike Information Criterion	493.799				
H&L	H&L *P* value of chi^2	0.850				

AAO-HNS model: Respiratory Complications = $\alpha_0 + \alpha_1 AGE + \alpha_2 SEX + \alpha_3 RACE + \alpha_4 Comorbidity + \alpha_5 O_2 sat\ nadir < 80\% + \alpha_6 AHI \geq 10 + \varepsilon$. Single and double asterisks = significance at the .10 and .01 levels, respectively, using a two-tailed test. AAO-HNS = American Academy of Otolaryngology - Head and Neck Surgery, AHI = apnea-hypopnea index, CI = confidence interval, eB = exponentiation of the B coefficient, H&L = Hosmer and Lemeshow goodness-of-fit classification.

Table 3—Logistic regression of the AAP model.

Variable	Definition	Coefficients	P	eB (Odds Ratio)	95% CI Lower	95% CI Upper
Age	Age younger than 3 years	1.940	< .001**	6.960	4.233	11.445
Sex	Male = 0, Female = 1	−0.549	.026*	0.578	0.356	0.937
Race	Black = 1, White = 0, Other = 2	−0.445	.005**	0.641	0.469	0.874
Comorbidity	Has comorbidity = 1	0.948	.001**	2.582	1.496	4.457
O_2 sat nadir < 80	O_2 sat nadir < 80% = 1	0.149	.762	1.160	0.444	3.302
AHI ≥ 24	AHI ≥ 24 events/h = 1	0.428	.177	1.534	0.825	2.855
PCO_2 ≥ 60	PCO_2 ≥ 60 = 1	1.355	< .001**	3.875	1.920	7.819
Constant	Intercept	−1.783	.000	0.168		
R^2	Nagelkerke R	0.311				
AIC	Akaike Information Criterion	478.928				
H&L	H&L *P* value of chi^2	0.724				

AAP model: Respiratory Complications = $\alpha_0 + \alpha_1 AGE + \alpha_2 SEX + \alpha_3 RACE + \alpha_4 Comorbidity + \alpha_5 O_2 sat\ nadir < 80\% + \alpha_6 AHI \geq 24 + \alpha_7 PCO_2 \geq 60 + \varepsilon$. Single and double asterisks = significance at the .10 and .01 levels, respectively, using a two-tailed test. AAP = American Academy of Pediatrics, AHI = apnea-hypopnea index, CI = confidence interval, eB = exponentiation of the B coefficient, H&L = Hosmer and Lemeshow goodness-of-fit classification, PCO_2 = peak CO_2.

The following formulas were used to analyze the ability of each model to identify PRCs.

AAO-HNS Model (Table 2)

Respiratory Complications = $\alpha_0 + \alpha_1 AGE + \alpha_2 SEX + \alpha_3 RACE + \alpha_4 Comorbidity + \alpha_5 O_2 sat\ nadir < 80\% + \alpha_6 AHI \geq 10 + \varepsilon$

AAP Model (Table 3)

Respiratory Complications = $\alpha_0 + \alpha_1 AGE + a_2 SEX + a_3 RACE + \alpha_4 Comorbidity + \alpha_5 O_2 sat\ nadir < 80\% + \alpha_6 AHI \geq 24 + \alpha_7 PCO_2 \geq 60 + \varepsilon$

NCH Model (Table 4)

Respiratory Complications = $\alpha_0 + \alpha_1 AGE + a_2 SEX + a_3 RACE + \alpha_4 Comorbidity + \alpha_5 O_2 sat\ nadir < 80\% + \alpha_6 AHI > 10 + \alpha_7 ETCO_2 > 50 + \alpha_8 Obesity + \varepsilon$

BSC Model (Table 5)

Respiratory Complications = $\alpha_0 + \alpha_1 AGE + a_2 SEX + a_3 RACE + \alpha_4 Comorbidity + \alpha_5 AHI > 10 + \alpha_6 PCO_2 > 52 + \varepsilon$

where $AGE = 1$ if < 3 or 0 otherwise, $SEX = 1$ if the patient is female, or 0 otherwise, $RACE = 1$ if the patient is black, 0 if the patient is white, or 2 otherwise, $Comorbidity = 1$ if the patient has a craniofacial syndrome or pertinent comorbid condition, or 0 otherwise, $O_2 sat\ nadir < 80\% = 1$ if oxygen saturation nadir < 80% on PSG, or 0 otherwise, $AHI > 10 =$ AHI > 10 events/h, $AHI \geq 24 =$ AHI ≥ 24 events/h, $ETCO_2 > 50 =$ ETCO$_2$ > 50 mm Hg, $PCO_2 > 52 =$ PCO$_2$ > 52 mm Hg, $PCO_2 \geq 60 =$ PCO$_2$ > 60 mm Hg, and $Obesity = 1$ if BMI for age > 95th percentile, or 0 otherwise.

Additionally, sensitivity, specificity, positive predictive values (PPV), and negative predictive values (NPV) were also completed for comparison. These statistical analyses were performed using the following equations.

Table 4—Logistic regression of the NCH model.

Variable	Definition	Coefficients	P	eB (Odds Ratio)	95% CI	
					Lower	Upper
Age	Age younger than 3 years	1.901	< .001**	6.691	4.060	11.028
Sex	Male = 0, Female = 1	−0.475	.050*	0.622	0.387	1.000
Race	Black = 1, White = 0, Other = 2	−0.434	.005**	0.648	0.477	0.879
Comorbidity	Has comorbidity = 1	0.965	< .001**	2.626	1.532	4.500
O_2 sat nadir < 80	O_2 sat nadir < 80% = 1	1.032	.012*	2.806	1.251	6.235
AHI > 10	AHI > 10 events/h = 1	0.440	.075*	1.553	0.956	2.520
$ETCO_2$ > 50	$ETCO_2$ > 50 = 1	−0.004	.959	0.996	0.849	1.169
Obesity	BMI for age > 95th % = 1	−0.092	.743	0.912	0.524	1.585
Constant	Intercept	−1.749	.000	0.174		
R^2	Nagelkerke R	0.272				
AIC	Akaike Information Criterion	494.558				
H&L	H&L *P* value of chi^2	0.526				

NCH model: Respiratory Complications = α_0 + α_1 AGE + α_2 SEX + α_3 RACE + α_4 Comorbidity + α_5 O_2 sat nadir < 80% + α_6 AHI > 10 + α_7 $ETCO_2$ > 50 + α_8 Obesity + ε. Single and double asterisks = significance at the .10 and .01 levels, respectively, using a two-tailed test. AHI = apnea-hypopnea index, BMI = body mass index, CI = confidence interval, eB = exponentiation of the B coefficient, $ETCO_2$ = end-tidal CO_2, H&L = Hosmer and Lemeshow goodness-of-fit classification, NCH = Nationwide Children's Hospital.

Table 5—Logistic regression of the BSC model.

Variable	Definition	Coefficients	P	eB (Odds Ratio)	95% CI	
					Lower	Upper
Age	Age younger than 3 years	2.047	< .001**	7.742	4.743	12.638
Sex	Male = 0, Female = 1	−0.504	.035*	0.604	0.379	0.965
Race	Black = 1, White = 0, Other = 2	−0.429	.005**	0.651	0.482	0.880
Comorbidity	Has comorbidity = 1	0.903	.001**	2.467	1.439	4.230
AHI > 10	AHI > 10 events/h = 1	0.423	.089*	1.526	0.937	2.486
PCO_2 > 52	PCO_2 > 52 = 1	0.493	.064*	1.673	0.971	2.762
Constant	Intercept	−2.018	.000	0.133		
R^2	Nagelkerke R	0.269				
AIC	Akaike Information Criterion	496.471				
H&L	H&L *P* value of chi^2	0.990				

BSC model: Respiratory Complications = α_0 + α_1 AGE + α_2 SEX + α_3 RACE + α_4 Comorbidity + α_5 AHI > 10 + α_6 PCO_2 > 52 + ε. Single and double asterisks = significance at the .10 and .01 levels, respectively, using a two-tailed test. AHI = apnea-hypopnea index, BSC = Balancing Safety and Cost, CI = confidence interval, eB = exponentiation of the B coefficient, H&L = Hosmer and Lemeshow goodness-of-fit classification, PCO_2 = peak CO_2.

Sensitivity

Children with PRCs / (Children with PRCs + Children with PRCs not admitted)

Specificity

Children without PRCs / (Children without PRCs + Children without PRCs that were admitted)

PPV

Children with PRCs / (Children with PRCs + Children without PRCs that were admitted)

NPV

Children without PRCs / (Children without PRCs + Children with PRCs not admitted)

RESULTS

A total of 630 children (333 males, 52.9%) were included in our study (**Table 6**). Of these, 116 (74 males, 63.8%) had a PRC whereas 514 (259 males, 50.4%) did not. The average age of children with PRCs was 3.7 ± 2.3 years compared to 5.9 ± 2.9 years, and a significantly greater number of children with PRCs were younger than the age of 3 years (*P* = .024). No significant difference was seen in race (*P* = .411) or obesity (*P* = .265) between those with and without PRCs. However, more children were younger than 3 years of age (*P* = .024) or had a genetic syndrome (*P* < .001) among the PRC group. The most common genetic syndromes in our patient population were neuromuscular disorders (24/37), Down syndrome, Pierre Robin sequence, and other craniofacial disorders. Among the children in our

Table 6—Demographic information, medical history, and PSG data for children with OSA undergoing T&A.

	Total	With Respiratory Complications	Without Respiratory Complications	P
Actual number in dataset	630	116	514	
Age				
Age, years, mean ± SD	5.5 ± 2.8	3.7 ± 2.3	5.9 ± 2.9	
Age younger than 3 years, n (%)	125 (19.8)	60 (51.7)	65 (12.6)	.024*
Race, n (%)				.411
White	201 (31.9)	37 (31.9)	164 (31.9)	
Black	313 (49.7)	65 (56.0)	248 (48.2)	
Other	116 (18.4)	14 (12.1)	102 (19.8)	
Sex, n (%)				.012*
Male	333 (52.9)	74 (63.8)	259 (50.4)	
Female	297 (47.1)	42 (36.2)	255 (49.6)	
BMI, kg/m^2, mean ± SD	18.9 ± 8.4	18.9 ± 4.6	19.2 ± 9.0	
BMI for age %, mean ± SD[A]	65.8 ± 50.0[B]	68.9 ± 34.2[B]	66.6 ± 33.5[B]	
BMI for age > 95th %, n (%)	175 (27.8)	25 (21.6)	150 (29.2)	.265
Genetic syndromes, n (%)	113 (17.9)	37 (31.9)	76 (14.8)	< .001*
PSG data				
AHI, events/h, mean ± SD	14.2 ± 21.1	25.1 ± 35.9	11.8 ± 14.9	
AHI > 10 events/h, n (%)	240 (38.1)	60 (51.7)	180 (35.0)	< .001*
AHI > 24 events/h, n (%)	112 (17.8)	36 (31.0)	76 (14.8)	< .001*
PCO_2, mm Hg, mean ± SD[C]	54.1 ± 5.8	56.8 ± 7.6	53.4 ± 5.5	
PCO_2 > 52%, n (%)	482 (76.5)	95 (81.9)	387 (75.3)	.660
PCO_2 > 60%, n (%)	66 (10.5)	33 (28.4)	33 (6.4)	< .001*
O_2 sat, %, mean ± SD	85.6 ± 8.9	80.1 ± 12.0	86.7 ± 8.3	
O_2 sat nadir < 80%, n (%)	115 (18.3)	46 (39.7)	69 (13.4)	.193
OSA severity, n (%)				
Mild	208 (33.0)	24 (20.7)	184 (35.8)	
Moderate	144 (22.9)	25 (21.6)	119 (23.2)	
Severe	242 (38.4)	66 (56.9)	176 (34.2)	
Planned admissions, n (%)	312 (49.5)	84 (72.4)	228 (44.4)	

[A] = BMI for age percentile is the BMI normalized for age and sex as specified by the Centers for Disease Control and Prevention.[24] [B] = BMI for age percentile calculated for only those children > 2 years old, per Centers for Disease Control and Prevention guidelines.[24] [C] = this was data collected from the PSG and could represent either transcutaneous CO_2 or end-tidal measurements. * = significance of those with versus those without respiratory complications at P = .05 using binary logistic regression. AHI = apnea-hypopnea index, BMI = body mass index, OSA = obstructive sleep apnea, PCO_2 = peak CO_2, PSG = polysomnography, SD = standard deviation, T&A = adenotonsillectomy.

study, 312 had a planned admission preoperatively prior to the scheduled T&A (**Table 6**). Further, 8 children in our study group required reintubation in the postoperative period, and 7 of these children (87.5%) had a comorbid genetic syndrome and 6 (75%) had a neuromuscular disorder (**Table 7**).

The average AHI for children with PRCs (25.1 ± 35.9 events/h) was significantly higher than in those without PRCs (11.8 ± 14.9 events/h) (P < .001). Similarly, more children with PRCs also had a PCO_2 > 60 mm Hg (P < .001) compared to those without PRCs. Seventy-two percent of those with PRCs (84 children) had planned admissions prior to the T&A (**Table 1**).

The admission criteria for each guideline is listed in **Table 1**. Applying the AAP criteria to our cohort, 46.7% of the children who underwent T&A would be admitted postoperatively (**Table 7**). Further, 95 children with PRCs (81.9%) would have been identified, the lowest identification rate of the three published guidelines; the Nagelkerke R^2 for the AAP guidelines was 0.311. The H&L and AIC goodness-of-fit scores for the AAP model were 0.724 and 478.9, respectively (**Table 3**). The

AAO-HNS guideline criteria would have required admission for 355 children (56.3%), and 101 children with PRCs (87.1%) would have been identified (**Table 7**); the Nagelkerke R^2 for the AAO-HNS guidelines was 0.275, whereas the H&L and AIC goodness-of-fit scores were 0.850 and 493.8, respectively (**Table 2**). Approximately 92% of the cohort would require admission based on the NCH guidelines (**Table 7**), and 115 of the children with PRCs (99.1%) would be identified (**Table 7**); the Nagelkerke R^2 for the NCS guidelines was 0.272, whereas the H&L and AIC goodness-of-fit scores were 0.526 and 494.6, respectively (**Table 4**). The NCH guidelines had the highest sensitivity (0.991) and NPV (0.998) (**Table 8**). The AAP guidelines had the highest specificity (0.743) and PPV (0.395). If admission criteria were followed for the AAP, AAO-HNS, and NCH guidelines, cost for admission would be $535,962, $647,165, and $1,053,962, respectively (**Table 7**).

After each guideline was evaluated, the BSC model was created by balancing individual parameters, which contribute to PRCs and the cost of admission, as a forced model. The

Table 7—Planned admission, cost comparisons, predicted postoperative respiratory complications, and predicted readmissions for each of the guidelines.

	True Number[A]	AAO-HNS[19]	AAP[14]	NCH[20]	BSC
Total planned admissions, n (%), out of 630	312 (49.5)	355 (56.3)	294 (46.7)	578 (91.7)	472 (74.9)
PRCs identified, n (%), out of 116	116	101 (87.1)	95 (81.9)	115 (99.1)	110 (94.8)
Severe PRCs identified, n (%)*	8	8 (100)	8 (100)	8 (100)	8 (100)
Cost of admission, USD	568,776	647,165	535,962	1,053,694	860,456
2-week postop readmission, n (%), out of 50†	50	31 (62.0)	23 (46.0)	47 (94.0)	37 (74.0)
2-week postop readmission secondary to PRC, n (%)#	2	2 (100)	2 (100)	2 (100)	2 (100)

Each guideline was applied to the retrospective dataset to determine how many patients would have been admitted by following those recommendations, how many PRCs would have been identified preoperatively, and how many readmissions would have been predicted preoperatively. [A] = the actual admissions and cost outcomes for the 630 children with OSA treated by T&A at this tertiary academic care center. * = the percent refers to the predicted number of children (n) with severe postoperative respiratory events compared to the total number of children with severe PRCs seen in our patient population or identified by each model. All 4 models for predicting PRCs would have captured the 8 patients that had severe respiratory complications. † = the percent refers to the number of children that each model would have captured out of the 50 that were actually readmitted within the first 2 weeks postoperatively. # = of the 50 patients who were readmitted within the first 2 weeks postoperatively, 2 were specifically for PRCs. The percent refers to the number of children that each model would have captured out of the 2 who were actually readmitted within the first 2 weeks postoperatively for PRCs. All models would have captured the 2 patients who were readmitted within 2 weeks postoperatively for PRCs. AAO-HNS = American Academy of Otolaryngology - Head and Neck Surgery, AAP = American Academy of Pediatrics, BSC = Balancing Safety and Cost, NCH = Nationwide Children's Hospital, OSA = obstructive sleep apnea, PRCs = postoperative respiratory complications, T&A = adenotonsillectomy, USD = United States dollars.

Table 8—Diagnostic accuracy of the various admission tools to predict postoperative respiratory complications.

	AAO-HNS[19]	AAP[14]	NCH[20]	BSC
Sensitivity % (95% CI)	0.886 (81.8–93.5)	0.847 (77.5–90.3)	0.992 (95.3–100.0)	0.959 (89.6–98.2)
Specificity % (95% CI)	0.683 (64.8–71.6)	0.743 (70.9–77.5)	0.527 (49.5–55.8)	0.591 (55.7–62.4)
Positive predictive value (95% CI)	0.327 (30.1–35.4)	0.395 (36.0–43.0)	0.201 (19.0–21.2)	0.246 (23.0–26.3)
Negative predictive value (95% CI)	0.972 (95.5–98.2)	0.961 (94.3–97.3)	0.998 (98.7–100.0)	0.990 (97.5–99.5)

AAO-HNS = American Academy of Otolaryngology - Head and Neck Surgery, AAP = American Academy of Pediatrics, BSC = Balancing Safety and Cost, CI = confidence interval, NCH = Nationwide Children's Hospital.

BSC model would recommend admission for 472 children (74.9%), and 110 children (94.8%) with PRCs would be identified (**Table 7**). The Nagelkerke R^2 for our model was 0.269, whereas the H&L and AIC goodness-of-fit scores were 0.990 and 496.5, respectively. The application of this model to our cohort would result in an admission cost of $860,456, far less than what was recommended by Raman et al. in the NCH model.[20] The BSC model presented here had a high sensitivity and NPV compared with most of the other models (**Table 8**).

Among our study population, 50 children were readmitted within the first 2 weeks after discharge (**Table 7**). Only 2 of these patients were readmitted for PRCs. The other 48 patients were readmitted for pain, dehydration, postoperative bleeding, or other complications such as upper respiratory infections. Of note, each of the four models would have predicted PRCs in 8 patients who had severe PRCs requiring reintubation (**Table 7**).

DISCUSSION

Our study is the first to compare the number of children with PRCs that would be identified and the cost of admission for those children using the three published guidelines for admission after T&A for OSA. Following guidelines from the AAP,[14]

AAO-HNS,[19] and NCH,[20] 82%, 87%, and 99% of children from a large, retrospective cohort with PRCs would be identified and cost $535,962, $647,165, and $1,053,694 for admission, respectively. After examining the admission criteria, we found that refining the guidelines would result in an admission rate of 75%, costing $860,456, and the identification of 95% of those children from our cohort with known PRCs.

PRCs are common among children with OSA after airway surgery. Given the large number of children in the United States with OSA that undergo T&A each year, it is imperative that we can accurately predict which children are at highest risk for PRCs and appropriately monitor them postoperatively. Several published guidelines define standards for admission after T&A for those children who should be closely monitored; however, none of these parameters are universally accepted. We hypothesized that significant variability would exist in the number of children with PRCs that would be identified using each of the guidelines to screen our patient population. As expected, if guidelines from the NCH[20] were followed, 99% of those children in our cohort with known PRCs would have been detected preoperatively, compared with 82% following criteria published by the AAP.[14] However, the NCH guidelines would also require admission for almost 92% of those children undergoing T&A. If cost were not a concern, we would theoretically admit every patient after T&A for monitoring. Given

the current health care climate, we must deliver quality health care through cost-effective means.

Previous work has examined the ability of some of the published guidelines to predict perioperative respiratory complications. A large prospective, observational cohort study demonstrated that a model using age, O_2 sat nadir, and PCO_2 predicted respiratory complications better than those guidelines outlined by the AAP and AAO-HNS.[27] However, the authors also concluded that their model had limitations in predicting the need for postoperative admission. The nonvalidated model presented in our study also identified age and PCO_2 as good predictors of these PRCs. Variation in the other predictors could also be due to the fact that our model included an analysis of a different patient population. Although preliminary, our study also included a cost comparison between the models. It is important to note that our method of capturing events included a retrospective analysis of any recorded PRC. Given that this method included respiratory events that do not necessarily translate to a change in clinical course, we may be overestimating the number of clinically relevant respiratory events. As such, this study is exploratory in nature to better understand risk factors for PRCs and to determine how this may affect cost.

Due to recent expansion in coverage through state and federal insurance programs, there is increased pressure on health care systems to establish best-practice models for cost-effectiveness and patient safety. Physicians are now tasked with limiting unnecessary tests, preventing readmission for patients recently discharged from the hospital, and decreasing costs.[28] As an example of the importance of analyzing cost in the health care system, the Panel on Cost-Effectiveness in Health and Medicine has even developed recommendations to improve the quality of cost-effectiveness studies using consequences of interventions.[29] Some states have even moved to all-payer approaches where the model of cost savings is of utmost importance.[30] Therefore, it is imperative that anesthesiologists, otolaryngologists, and pediatric pulmonologists determine a standard of care that can be used to identify those children at risk for PRCs and establish general admission criteria that are both cost-effective and safe. We hypothesized that, along with the identification of children with PRCs, the cost for admission dictated by each guideline would be variable. In parallel with the number of admissions, a policy that reflects guidelines outlined by the NCH would cost almost $1.1 million, compared with $535,000 by the AAP. After modifying the admission criteria, admissions based on our BSC model would cost $860,000 but capture almost all of the patients who had known PRCs (95%).

Our results demonstrated that age, AHI, PCO_2, and comorbid conditions including cystic fibrosis, craniofacial anomalies, asthma, and cardiac and other major medical conditions, can be accurately used to identify children with PRCs while limiting the number of unnecessary hospital admissions. Further, non-whites were more likely to experience PRCs in our patient cohort (P = .005, **Table 5**). Of note, our model does not use obesity or oxygen saturation in our admission criteria. This does not suggest that these parameters are poor predictors of PRCs after T&A for children with OSA. In fact, obesity is well

established as a predictor of PRCs. In a retrospective study of 100 severely obese children undergoing tonsillectomy, Gleich et al. demonstrated that severe obesity was independently associated with an increased risk of perioperative airway complications.[31] The predictors outlined in our model captured most of the children who had known PRCs because we were able to analyze this retrospective cohort multiple times using different formulas to select the best combination. Using the criteria that we presented, almost all of the obese children were already included based on their other high-risk criteria. Because our criteria capture them regardless, we did not have to specifically include obesity as an individual admission criteria.

In our study, 8 children had critical postoperative respiratory events requiring reintubation. In 7 of these patients (87.5%), a genetic syndrome was diagnosed. Only 37.5% of the patients had a BMI percentile for age > 95%. Given that only one child requiring reintubation did not have a genetic condition, it is possible that the PRC had nothing to do with the parameters listed in the admission criteria but was entirely related to other occurrences during admission (administered drug combinations, other undiagnosed medical comorbidities, etc). However, if the occurrence of this PRC was not at least indirectly related to risks outlined in the different admission criteria, this PRC would not necessarily have been identified using the guidelines (and all four guidelines identified all 8 patients who required reintubation).

Interestingly, all children requiring reintubation did so within 2 to 3 hours after the procedures were completed. This would suggest that clinical judgments could be based on the immediate postoperative course in the recovery room. However, many other PRCs requiring at least oxygen supplementation did occur over the first full 24 hours postoperatively. It is difficult to predict if the intervention provided at the time of PRCs prevented other worse outcomes or more serious respiratory difficulties. Future prospective studies should examine the time course of respiratory events in these children undergoing T&A.

There are several limitations of our study worth discussing. First, this study was used to compare the number of hospital admissions that would have been performed based on the already published admission guidelines available for clinicians. The forced model presented in this paper was not validated for use clinically because it only serves to more clearly delineate those most significant risk factors outlined in all of the admission guidelines. This illustration was offered as an example for considering both safety and cost. Using the data obtained retrospectively to support the model is a potential source for error, limiting validity of the information extracted from the database. However, the use of a patient population known to have PRCs allowed us to create models based on actual outcomes. Because this study was performed by gathering information from electronic medical records retrospectively, there is the potential for loss of data as some of the necessary scoring criteria information may be misinterpreted or not measured. As a *post hoc* analysis, we did not perform internal or external validation of our data. It is a single-center study at a large, tertiary academic hospital that may limit generalizability to other settings. In order to

include the largest number of participants possible, no exclusion criteria were established for the type of anesthesia administered perioperatively. For this reason, drug choices, dosing, and opiate administration varied between patients. Given the large variability in anesthesia practices, there are likely significant differences in the combinations of anesthetics and opiates administered in the operating rooms. Further, it is also difficult to appropriately track the specific opiates and dosing regiments used in the recovery rooms. Without the ability to standardize these practices, it is important to understand that differences in the administration of these drugs could dramatically affect the risks for PRCs in children with OSA. Additionally, anesthesia risk (Cormack and Lehane score[32]) was not routinely recorded, and this measure could not be used in our analysis to predict PRCs postoperatively. Although a cost analysis was performed, this was based only on the charge-per-patient for a 1-night admission to the floor. The total costs do not include the costs of PSG and do not compare 23-hour observation versus formal admission. Because the models do not make recommendations for elevation of care, no admissions to the pediatric intensive care unit were included in these cost analyses. Also, no cost analysis was performed for those patients with multiple nights of admission. The respiratory complications were obtained from nursing staff reports, and thus it is possible that respiratory complications were treated but not recorded. Our collection method also likely includes respiratory events that did not result in a change in clinical course, overestimating the number of events that are clinically relevant. Although we included a large cohort of children for our study, we did not have enough variability to stratify the importance of individual comorbidities. All current guidelines only mention a few medical comorbidities that should warrant admission; however, other comorbid conditions not yet considered in admission guidelines could increase the risk of PRCs after T&A. Additionally, comorbidities were not substratified to determine the exact risk associated with each factor. However, future studies should be designed to determine the specific level of risk for each of the comorbidities. The risk associated with the presence of certain combinations of these disease comorbidities would be especially useful for practicing clinicians who treat these patients perioperatively. Larger prospective studies are needed to more thoroughly examine these conditions.

CONCLUSIONS

PRCs after T&A are common, and identifying at-risk children is crucial so that disposition planning can be appropriately conducted. Examining currently published guidelines using known admissions either did not identify enough children having PRCs or admitted too many patients unnecessarily, leading to increased cost. At that same time, more stringent regulations and decreases in reimbursement have forced physicians to limit excessive costs to the health care system, thus making it imperative that we identify those children at risk for PRCs to optimize patient safety while limiting total costs. Additional prospective studies are necessary to validate more current

guidelines that identify patients at risk for PRCs while also taking into account the burden on the health care system.

AAO-HNS, American Academy of Otolaryngology – Head and Neck Surgery
AAP, American Academy of Pediatrics
AHI, apnea hypopnea index
AIC, Akaike Information Criteria
BMI, body mass index
BSC, Balancing Safety and Cost
eB, exponentiation of the B coefficient
$ETCO_2$, end tidal carbon dioxide
H&L, Hosmer and Lemeshow
NCH, Nationwide Children's Hospital
O_2 sat nadir, oxygen saturation nadir
oAHI, obstructive apnea hypopnea index
OSA, obstructive sleep apnea
PCO_2, peak carbon dioxide
PRCs, postoperative respiratory complications
PSG, polysomnography
T&A, adenotonsillectomy

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DISCLOSURE STATEMENT