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SCIENTIFIC INVESTIGATIONS

Markers of cardiovascular disease risk in sleep-disordered breathing with or without comorbidities: the Nagahama study

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Study Objectives: Whether the association between sleep-disordered breathing (SDB) and cardiovascular disease is independent of comorbid risk factors for cardiovascular disease is controversial. The objective of this study was to elucidate whether the association between SDB severity and the surrogate markers of cardiovascular disease events differs in relation to the number of comorbidities.

Methods: This cross-sectional study included 7,731 participants. Severity of SDB was determined by the oxygen desaturation index adjusted by actigraph-measured objective sleep time. Participants were stratified according to SDB severity and the number of comorbidities (hypertension, diabetes, dyslipidemia, and obesity), and the associations between the maximum value of intima-media thickness of the common carotid artery (CCA-IMT-max), brachial-ankle pulse wave velocity, and cardio-ankle vascular index were evaluated.

Results: Among participants with no risk factors, CCA-IMT-max increased according to SDB severity ($n = 1022$, $P < .0001$). Even after matching the background, the median CCA-IMT-max value was 14% higher in moderate-severe SDB patients than those without SDB (n = 45 in each group, $P = .020$). The difference was not significant for brachial-ankle pulse wave velocity and cardio-ankle vascular index. On the other hand, a significant difference in CCA-IMT-max was not found in those with multiple comorbidities. Consistently, multiple regression analysis revealed an independent association between CCA-IMT-max and moderate-severe SDB for all study participants (β : 0.0222, 95% confidence interval: 0.0039–0.0405, $P = .017$), but the association was not significant for stratified participants with multiple comorbidities.

Conclusions: SDB severity is associated with the CCA-IMT-max level, but the independent association becomes weaker for those with multiple comorbidities. Keywords: sleep apnea, cardiovascular diseases, clinical epidemiology

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BRIEF SUMMARY

Current Knowledge/Study Rationale: Previous studies suggest that sleep-disordered breathing, most commonly obstructive sleep apnea, is associated with the increased risk of cardiovascular diseases. However, it is controversial whether this association is independent of other risk factors. Study Impact: Our study suggests that while the association between the severity of sleep-disordered breathing and the maximum value of intima-media thickness of the common carotid artery, a surrogate marker of cardiovascular disease events, is clear for those without cardiovascular risk factors, the association becomes weaker when the number of comorbid risk factors increases. These results will help identify those who would receive a benefit from treatment for obstructive sleep apnea against cardiovascular disease and to build an optimal strategy for future clinical studies.

INTRODUCTION

Cardiovascular disease (CVD) is one of the major complications of sleep-disordered sleeping (SDB), most frequently obstructive sleep apnea (OSA) .^{[1](#page-6-0)} CVD includes ischemic heart diseases or cerebrovascular diseases, and patients with moderate to severe OSA were reported to have more than 3 times the risk of fatal events of CVD as individuals without $OSA²⁻⁴$ $OSA²⁻⁴$ $OSA²⁻⁴$ $OSA²⁻⁴$ $OSA²⁻⁴$ This contributes to the significant increase in mortality in patients with OSA.⁵ Recent population-based studies revealed that the prevalence of moderate to severe SDB is 20%–40% irrespective of the ethnic groups.^{$6-8$ $6-8$} In addition, a large number of patients with CVD also have SDB.^{[9,10](#page-7-0)} Based on these data, the impact of SDB on CVD has been regarded as an important health issue.

Currently there are several noninvasive methods to evaluate the risk of CVD. Measurement of the maximum value of intima-media thickness of the common carotid artery (CCA-IMT-max) with echography is a well-validated predictor of CVD events, and is capable of representing systemic atherosclero $sis.$ ^{[11](#page-7-0)[–](#page-7-0)[14](#page-7-0)} In addition, brachial-ankle pulse wave velocity (baPWV) and the cardio-ankle vascular index (CAVI) are indicators of arterial stiffness, with higher values suggesting high risk of CVD.^{[15,16](#page-7-0)}

Despite the solid associations between OSA and CVD, it is still controversial whether OSA has a direct effect on CVD events. It was reported that patients with OSA had higher IMT values than study participants without $OSA^{17,18}$ $OSA^{17,18}$ $OSA^{17,18}$ and that continuous positive airway pressure (CPAP) treatment could decrease such values.^{[19](#page-7-0)} In addition, a recent large-scale cohort study revealed indepen-dentassociations between CCA-IMT and all degrees of OSA.^{[20](#page-7-0)} In contrast, recent studies suggestedthatthe severity ofOSAwas not independently related to the intensity of atherosclerosis or arterial stiffness.^{[21,22](#page-7-0)}The major obstacle in estimating the contribution of OSA to CVD events is that most OSA is often complicated by obesity. Because obesity commonly is responsible for other risk factors forCVD such as hypertension, dyslipidemia, and diabetes mellitus, it is difficult to dissect the sole effect of OSA and to clarify whether the increased CVD events in patients with OSA is not just due to the comorbid risk factors.

In the present study, we hypothesized that, while SDB has an independent association with CVD, in the event that the number of comorbidities increases, the association would disappear. We employed CCA-IMT-max, baPWV, and CAVI as surrogate markers of CVD events. Results of this study provide evidence for identifying those who are most strongly affected by SDB and will help in the construction of an optimal strategy for future clinical studies.

METHODS

Study participants

The Nagahama study included residents in Nagahama City, a rural city with approximately 125,000 inhabitants in Japan, and 9,850 participants from 34 to 80 years old were recruited. The study was conducted from 2013 to 2016, and residents without apparent physical impairments or dysfunction were recruited. Written informed consent was obtained from all participants. The ethics committee of Kyoto University Graduate School of Medicine approved the study (G0278). Participants were excluded if their sleep data, information required for the assessment of comorbidity, or the surrogate markers for CVD events (CCA-IMT-max, baPWV, and CAVI) were not available. Participants receiving treatment for SDB were also excluded.

Evaluation of SDB

The evaluation of SDB was performed as previously reported.^{[8](#page-7-0)} Briefly, a pulse oximeter (PULSOX-Me300; Konica Minolta, Inc., Tokyo, Japan) was used for the continuous measurement of SpO2 oxygen saturation during sleep at night. The Actiwatch 2 or the Actiwatch Spectrum Plus wrist actigraph (Philips Respironics, Murrysville, PA) was used for the analysis of the objective sleep period. Experienced staff members reviewed the results.

Oxygen desaturationindex (ODI)was calculated asthe number of events for 3% oxygen desaturation/h. Objective sleep period was applied to set the start and end points of analysis, and the oxygen desaturation index adjusted by the objective sleep period evaluated with actigraph (ODI-Acti3%) was used for further analyses. Participants with a minimum of 2 nights of data were includedinthe study. Averaged values of ODI-Acti3% were used for further analyses. ODI-Acti3% was more comparable to the apnea-hypopnea index derived from attended polysomnography $(r=.99, P<.001;$ apnea-hypopnea index = ODI-Acti3% $\times 1.04 +$ 1.45) than simply measuring ODI3% without actigraphy modification ($r = .92, P < .001$; apnea-hypopnea index = usual ODI3% \times 1.27 + 2.06).^{[23](#page-7-0)} Participants were grouped according to the severity of SDB based on the ODI-Acti3% values (no SDB, < 5/ events/h; mild SDB, 5 to < 15 events/h; and moderate-severe $SDB \geq 15$ events/h.

Study design

To evaluate the sole association between SDB severity and the surrogate markers of CVD events, we excludedindividuals with a smoking history, hypertension, diabetes mellitus, dyslipidemia, and body mass index $(BMI) \geq 25$ kg/m². Premenopausal females were also excluded as having a factor with a preferable effect on atherosclerosis.Thenwe comparedthe values ofCCA-IMT-max, baPWV, and CAVI between these curated participants grouped according to SDB severity (analysis 1). Next, to further equalize the confounding factors, we performed propensity-score matching between "no-SDB" group and "moderate-severe" group participants with generating "matched–no SDB" group. Similarly, we performed propensity-score matching between no-SDB group and mild-SDB group (matched–no-SDB-2 group and matched–mild-SDB group, respectively). The following factors were matched: age, BMI, sex, and systolic and diastolic blood pressures. The values of surrogate markers of CVD events were compared between the matched groups (analysis 2).

We next stratified all participants included in this study according to the number of the following comorbidities: BMI \geq 25, dyslipidemia, diabetes, and hypertension. Then the association between SDB severity and the CCA-IMT-max values were analyzed. Further details of methods are available in the supplemental material.

Statistical analysis

For comparisons between groups, Fisher's test was used for categorical variables, and Kruskal-Wallis test or Mann-Whitney U test was used for continuous variables. Holm's post-hoc test was performed for comparisons of 2 out of 3 groups. To calculate propensity scores between the no-SDB group and moderatesevere group, after excluding no-SDB group from the dataset of analysis 1, we used multivariate logistic regression test with the presence of SDB set as the objective variable and the following factors as explanatory variables: age, BMI, sex, and systolic and diastolic blood pressures. Similarly, the propensity scores between no-SDB group and mild-SDB group were calculated by logistic regression test performed on the dataset excluding moderate-severe group from analysis 1. Matching was done with case-control ratio of 1:1, and unmatched participants were

Table 1—Participants' characteristics.

Data are summarized as number (%) or median [interquartile range]. Fisher's test and Kruskal-Wallis test were used. baPWV = brachial-ankle pulse wave velocity, CAVI = cardio-ankle vascular index, CCA-IMT-max = maximum value of intima-media thickness of common carotid artery, HDL-chol = high density lipoprotein cholesterol, LDL-chol = low density lipoprotein cholesterol, SDB = sleep-disordered breathing.

excluded. Forthemultiple regressiontest, we setlog-transformed CCA-IMT-max values as the dependent variable, and the associations between log-transformed CCA-IMT-max and SDB severity were assessed with the model that included the following variables; age, BMI, glycated hemoglobin, plasma levels of high-density lipoprotein, plasma levels of low-density lipoprotein, plasma levels of systolic blood pressure, plasma levels of triglyceride, diastolic blood pressure, sex (with pre- and postmenopausal females treated as independent groups), Brinkman index, and SDB severity. Statistical analyses were performed by using EZR (Saitama Medical Centre, Jichi Medical University, Saitama, Japan), which is a graphical user interface for R (The R Foundation for Statistical Computing, Vienna, Austria).²⁴ A P value < .05 was considered significant.

RESULTS

Participants' backgrounds

ODI-Acti3% data were available for 7771 participants, and 40 participants who were receiving treatment for OSA (CPAP and/or oral appliance treatment) were excluded. Another 2 participants

were excluded due to the lack of CCA-IMT or blood test data. The background of all 7729 participants included in this study is summarized in Table 1. After excluding participants with major risk factors for CVD or a premenopausal status (Table S1 in the supplemental material), 1022 participants remained for analysis (analysis 1). Among those, 497 participants did not exhibit SDB, while 480 and 45 participants were classified into the "mild" and "moderate-severe" SDB groups, respectively. Differences between groups in most background items were generally small; however, there were significant differences in rates of male sex, age, BMI, and diastolic blood pressure. We then selected 45 participants from the "no-SDB" group ("matched–no-SDB" group) by matching age, BMI, sex, and systolic and diastolic blood pressures with those in the "moderate-severe" group. There were no statistically significant differences between the backgrounds of the "matched–no-SDB" group and moderate-severe group (analysis 2, Table S2 in the supplemental material). We further performed propensity-score matching to the no-SDB group and mild-SDB group (matched–no-SDB-2 group and matched–mild-SDB group, respectively) (Table S3 in the supplemental material). [Figure 1](#page-3-0) is a flowchart summarizing the selection of participants.

Figure 1—Flowchart of study participants.

BMI = body mass index, BP = blood pressure, ODI-Acti3% = 3% oxygen desaturation indexadjusted by the objective sleep period evaluated with actigraphy, OSAS = obstructive sleep apnea syndrome, SDB = sleep-disordered breathing, TG = triglycerides.

Severity of SDB was associated with CCA-IMT-max value among participants without major risk factors for CVD

Next, we analyzed whether the CCA-IMT-max value was associated with the severity of SDB within each of the analyses. In both the analysis involving all included participants and the analysis of participants included in "analysis 1", the CCA-IMTmax values were significantly increased in association with the severity of SDB ([Figure 2A](#page-4-0), Figure 2B, [Table 1](#page-2-0), and Table S1). In addition, even in the comparison between the matched–no-SDB group and moderate-severe group (analysis 2), significantly higher values for CCA-IMT-max were observed for those with SDB ($P = .020$, median value [lower, higher interquartile range]: 0.70 [0.70, 0.80] mm for matched–no-SDB group, 0.80 [0.80, 1.00] mm for moderate-severe group) (**[Figure 2C](#page-4-0)** and **Table S2**).

In contrast, baPWV or CAVI did not show significant differences between the matched–no-SDB group and moderatesevere SDB group in analysis 2 (Figure S1 in the supplemental material). We further compared the matched–no-SDB-2 group and matched–mild-SDB group and found that the CCA-IMTmax values in the matched–mild-SDB group were significantly higher (Figure S2).

Effect of SDB on CCA-IMT-max was difficult to distinguish in those with multiple comorbidities

Next, we stratified all of the included participants according to the number of comorbidities (BMI $\geq 25 \text{ kg/m}^2$, dyslipidemia, diabetes, and hypertension). With regard to 2743 participants, none of these 4 comorbidities were found. Only 1 morbidity was present in 2546 participants and 2 comorbidities were present in 1707 participants, while 3 or more comorbidities were observed in 733 participants. The between-group comparison of CCA-IMT-max values revealed that the severity of SDB was associated with an increase in CCA-IMT-max values for those without or with only 1 risk factor (**[Figure 3A](#page-6-0)** and **[Figure 3B](#page-6-0)**). On the other hand, the difference was less significant for those with 2 risk factors (**[Figure 3C](#page-6-0)**) and not significant for those with 3 or more risk factors (Figure 3D).

Finally, we performed multiple regression analysis to adjust the effect of comorbidities onCCA-IMT-max.The analysis ofthe remaining 7730 participants showed that moderate-severe SDB was an independent factor for increases in the log-transformed CCA-IMT-max values ($P = .017$, β [lower to higher 95% confidence interval]: 0.0222 [0.0039–0.0405]. In the analysis of participants with no or only 1 risk factor for CVD, we found that

Figure 2—Comparison of CCA-IMT-max values among participants grouped according to the severity of SDB.

(A, B) Comparisons of CCA-IMT-max values among all included patients (A) and those without major risk factors for CVD (analysis 1; B). Participants were grouped according to the severity of SDB (no-SDB, mild-SDB, and moderate-severe groups) and compared. (C) Comparisons of CCA-IMT-max values between the "matched–no-SDB" group and "moderate-severe" group (analysis 2; C). Kruskal-Wallis test and post-hoc analysis between 2 groups with adjustment for multiple comparisons by Holm's method were used for (A) and (B) . Mann-Whitney Utest was used for (C) . CCA-IMT-max = maximum value of intima-media thickness of common carotid artery, SDB = sleep-disordered breathing.

mild SDB was a significant factor ($P = .0344$, β : 0.0131 [0.0010–0.0252]). In contrast, among participants with 2 or more risk factors, SDB severity was not a significant factor ([Table 2](#page-5-0)).

DISCUSSION

In the present study, we showed that the severity of SDB was associated with higher values ofCCA-IMT-maxinthe absence of major risk factors for CVD. We also found that this association was not present in those with more than 2 risk factors. These results added the novel insight to the literature that the statistically significant associations between SDB and CCA-IMT-max were most evident for those who had none or 1 risk factor for cardiovascular diseases.

Among surrogate markers for CVD events, CCA-IMT-max is one of the most established parameters and can visually evaluate the degree of atherosclerosis.[25](#page-7-0) On the other hand, markers for arterial stiffness such as baPWV and CAVI are calculated by using blood pressure values, and it has been shown that these were strongly affected by blood pressure at the time of evaluation. $26,27$ That might be 1 reason why associations between SDB severity and baPWV or CAVI were not observed after the matching of blood pressure.

This study is consistent with the previous reports by Drager et al^{[17](#page-7-0)} and Souza et al^{[20](#page-7-0)} with regard to the point that SDB may directly contribute to the CCA-IMT-max independently of comorbidities, while we reached a different conclusion from

other previous reports suggesting that the association between CCA-IMT and SDB largely depends on comorbid risk factors.^{21,[22](#page-7-0)} A major difference between the previous studies aforementioned and the present study is that previous studies included quite a few participants with risks of CVD such as obesity and dyslipidemia.[17,20](#page-7-0)[–](#page-7-0)[22](#page-7-0) The present study included analyses after careful exclusion of such participants, which successfully revealed that SDB was significantly associated with the increased levels of CCA-IMT-max; therefore, our study offers the new insight that SDB could contribute to increased risk of CVD even in the absence of other risk factors. Clinically, this novel finding may highlight the importance of treating SDB patients, even those without risk factors or only 1 risk factor, for cardiovascular diseases, although a prospective interventional study is needed to verify the degree of benefit.

Another novel finding of the present study is that in the multiple regression test the independent association between SDB and CCA-IMT-max disappeared when multiple risk factors were present. This result has 2 possible interpretations: first, the contribution of SDB is scant in patients with multiple comorbidities, and, second, even though SDB has an effect on CCA-IMTmax, the comorbidities may lie in the causal pathway between SDB and CCA-IMT-max, and the effect becomes difficult to distinguish when comorbidities are adjusted for. In addition to the direct effect, SDB can increase the risk of CVD through the augmentation of other comorbidities.[28](#page-7-0) For example, there is a solid association between the severity of SDB and the increase in blood pressure.^{[29](#page-7-0)} Also, intermittent hypoxia due to SDB may

Table 2—Multiple regression analysis for the association between SDB severity and CCA-IMT-max.

Risk factors counted: BMI> 25, complication of dyslipidemia, diabetes, and hypertension. BMI = bodymass index, CCA-IMT-max =maximum valueof intima-media thickness of common carotid artery, CI= confidence interval, HbA1c = glycated hemoglobin, HDL-chol = high-density lipoprotein cholesterol, LDL-chol = low density lipoprotein cholesterol, SDB = sleep-disordered breathing.

cause peroxidation of lipids, 30 which could exacerbate the progression of atherosclerosis in patients with dyslipidemia.^{[31](#page-7-0)} The adjustment of comorbidities might have canceled these secondary effects of SDB on CCA-IMT-max or made them obscure. In patients with multiple risk factors, risk factors may form a network of biological effects and affect atherosclerosisin a complicated manner, and not in an independent manner. Further investigation is required to elucidate this process.

This study may provide a clue to explaining the conflicting conclusions of previous studies concerning the contribution of SDB to surrogate markers of CVD events. Looking at the background of participants in a major study that denied the association between the severity of SDB and markers of CVD events, the number of risk factors for CVD was quite high,

suggesting that the majority of participants would have been complicated with multiple comorbidities.^{[21](#page-7-0)} Therefore, it should have been difficult to distinguish the effect of SDB on markers of CVD in those participants.

We expect that the results of the present study will help to optimize strategies of future prospective studies, such as clinical trials to evaluate the efficacy of CPAP treatment to lower the risk of CVD events. In spite of the well-recognized efficacy of CPAP treatment for OSA, a recent prospective study failed to show a clear benefit for the prevention of CVD .^{[32](#page-7-0)} Since that study included a large number of participants complicated with hypertension, obesity, and dyslipidemia, the presence of these conditions might have masked the apparent benefit of CPAP treatment.^{[32](#page-7-0)} The present study indicated that the direct effect of Figure 3—Comparison of CCA-IMT-max values between the participants' group stratified according to the number of comorbid risk factors.

Comparisons of CCA-IMT-max values among stratified participants according to the number of CVD risk factors (BMI ≥ 25 kg/m², DL, DM, HT). Participants were grouped according to the severity of SDB (no SDB, mild, and moderate-severe groups). Comparisons among the participants without any (A), with 1 (B), 2 (C), and 3 or more (D) risk factors for CVD are shown. Kruskal-Wallis test and post-hoc analysis with Mann-Whitney U test between 2 groups with the adjustment for multiple comparisons by Holm's method. BMI = body mass index, CCA-IMT-max = maximum value of intima-media thickness of common carotid artery, CVD = cardiovascular disease, DL = dyslipidemia, DM = diabetes mellitus, HT = hypertension, SDB = sleep-disordered breathing.

SDB on CCA-IMT-max was most clearly observed in participants with no or only 1 complication. Therefore, targeting these patients in future trials could be an advantageous strategy.

prevention of cardiovascular events and to build an optimal strategy for future clinical studies.

Limitations

First, this was a cross-sectional study, and causal relationships between SDB and increased values of CCA-IMT-max were not analyzed. The second potential limitation is that the evaluation of SDB was based on ODI values and not based on polysomnography-measured apnea-hypopnea index. On this point, we would like to emphasize that the ODI values used for the analyses were adjusted by objective sleep duration by actigraphy, which largely resolved the underestimation of respiratory events.^{[23](#page-7-0)}

Future directions

A prospective study to validate the results of this study and to analyze the causal relationship between SDB and cardiovascular events is desirable. In future studies that evaluate the efficacy of CPAP for the prevention of cardiovascular disease, it could be beneficial to focus on those without major risk factors.

In conclusion, in the present study we clarified that the severity of SDB was associated with CCA-IMT-max even among those without risk factors, indicating that SDB is associated with CCA-IMT-max independently from other cardiovascular risk factors. In addition, we revealed that the independent association between SDB and CCA-IMT-max became weaker when multiple risk factors were present. Our results will help to identify those who receive the clearest benefit from treatment of OSA for the

ABBREVIATIONS

- baPWV, brachial-ankle pulse wave velocity
- BMI, body mass index CAVI, cardio-ankle vascular index
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- CCA-IMT-max, maximum value of intima-media thickness of common carotid artery
- CPAP, continuous positive airway pressure
- CVD, cardiovascular disease
- ODI, oxygen desaturation index
- ODI-Acti3%, oxygen desaturation index adjusted by the objective sleep period evaluated with actigraph
- OSA, obstructive sleep apnea
- SDB, sleep-disordered breathing

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